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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/692,178	10/23/2003	Thomas Christian Lines	14682-005001	8351
26161 7590 02/28/2007 FISH & RICHARDSON PC P.O. BOX 1022 MINNEAPOLIS, MN 55440-1022			EXAMINER PRATT, HELEN F	
			ART UNIT	PAPER NUMBER
			1761	
SHORTENED STATUTORY PERIOD OF RESPONSE		MAIL DATE	DELIVERY MODE	
3 MONTHS		02/28/2007	PAPER	

**Please find below and/or attached an Office communication concerning this application or proceeding.**

If NO period for reply is specified above, the maximum statutory period will apply and will expire 6 MONTHS from the mailing date of this communication.

<b>Office Action Summary</b>	<b>Application No.</b> 10/692,178	<b>Applicant(s)</b> LINES ET AL.	
	<b>Examiner</b> Helen F. Pratt	<b>Art Unit</b> 1761	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

**Period for Reply**

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

**Status**

- 1) ☒ Responsive to communication(s) filed on 29 January 2007.
- 2a) ☒ This action is **FINAL**.                      2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

**Disposition of Claims**

- 4) ☒ Claim(s) 1-37 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-37 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

**Application Papers**

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
     Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
     Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

**Priority under 35 U.S.C. § 119**

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All    b) ☐ Some \*    c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

**Attachment(s)**

- |   |   |
|---|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892)   | 4) <input type="checkbox"/> Interview Summary (PTO-413)<br>Paper No(s)/Mail Date. _____ |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948)                                  | 5) <input type="checkbox"/> Notice of Informal Patent Application                       |
| 3) <input checked="" type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08)<br>Paper No(s)/Mail Date _____ | 6) <input type="checkbox"/> Other: _____  |

## **DETAILED ACTION**

### ***Claim Rejections - 35 USC § 103***

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

This application currently names joint inventors. In considering patentability of the claims under 35 U.S.C. 103(a), the examiner presumes that the subject matter of the various claims was commonly owned at the time any inventions covered therein were made absent any evidence to the contrary. Applicant is advised of the obligation under 37 CFR 1.56 to point out the inventor and invention dates of each claim that was not commonly owned at the time a later invention was made in order for the examiner to consider the applicability of 35 U.S.C. 103(c) and potential 35 U.S.C. 102(e), (f) or (g) prior art under 35 U.S.C. 103(a).

Claims 1-6, 16 are rejected under 35 U.S.C. 103(a) as being unpatentable over Anderson et al. '569.

Anderson et al. disclose a composition containing quercetin and Vitamin B3 as in claims 1, 2, 3, and the vitamins of claim 4 and Coenzyme Q10 of claim 16 (col. 5, lines 40-65). The reference also discloses the use of green tea, which is known to contain caffeine, and the other ingredients of claims 5 and 6. Applicants' specification discloses on page 3, lines 4-6, that green tea contains the ingredients of claims 5 and 6.

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Claim 1 further requires particular ratios of quercetin and caffeine. However, nothing has been shown that the reference does not contain the claimed ratio. The Patent Office is not in the position to perform food analysis. Also, nothing is seen in the specification as to any nexus between quercetin and caffeine nor any unexpected results using the claimed ingredients in a particular ratio. Caffeine is known to be a stimulant and would therefore enhance performance. Claim 1 further requires that the caffeine is added in pure form. However, the method of adding caffeine is not give weight in a composition claim. Once the caffeine is in the composition, it would not be in pure form. Therefore, it would have been obvious to use a green tea because it contains the claimed ingredients and the other claimed ingredients as shown by Anderson, and to use the claimed ratios absent any new or unobvious results.

Claims 1-15, 16-25, 28, 30-37 are rejected under 35 U.S.C. 103(a) as being unpatentable over Gorsek, '195 in view of Gorsek '629 and further in view of Rosenberg et al. (6,579,544) and Husz (6,277,427), and Pearson (6,261,589) and Xiong et al. (6,299,925).

Gorsek '195 discloses a composition containing quercetin and Vitamin B3 as in claims 1, 2, 3, and the vitamins of claim 4 (col. 3, lines 10-60 and col. 5, lines 30-35).

The composition can be in dry form as in a tablet as in claims 7-9.

Taurine is disclosed as in claims 16-21.

Since the composition is to enhance eyesight, the composition is administered as in claims 22, 23, 24, 25 and 28 as in claims 1-4 above (col. 4, lines 26-30). Claims 1-4,

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7-9, 16-21 differ from the reference in the use of caffeine. However Gorsek '629 discloses that it is known to use green tea extract, which is known to contain caffeine (col. 1, lines 59-70). Therefore, it would have been obvious to use caffeine in the composition of Gorsek '195 for its known function as a stimulant.

Claim 1 further requires a particular ratio of quercetin to caffeine. However, nothing has been shown that there is any nexus between quercetin and caffeine or any unexpected results using a particular ratio of the two. Claim 1 further requires that the caffeine is added in pure form. However, the method of adding caffeine is not give weight in a composition claim. Once the caffeine is in the composition, it would not be in pure form. Therefore, it would have been obvious to use quercetin and caffeine for their known functions in the claimed composition and it would have been obvious to use an ingredient such as green tea as a source of caffeine.

The above claims and claim 2 differ from the reference in the use of caffeine added in pure form. However, no patentable distinction is seen in adding caffeine in pure form absent a showing of unexpected results. Therefore, it would have been obvious to use caffeine to the composition of the combined references for its known functions.

Some other ingredients found in the claims are found in these additional references. Rosenberg et al. further disclose that the use of quercetin is known in dietary supplements, as in claim 1, vitamin E, soy isoflavones, and ginkgo as in claims 16-21 (col. 19, lines 1-35). Husz discloses a composition containing caffeine, vitamin C, iron, vitamins in beverages, ginkgo extract as in claims 16-21 in a beverage (abstract).

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Pearson et al. disclose a composition containing B vitamins, caffeine and green tea in a carbonated mixture (abstract). The reference to Pearson et al. also disclose that taurine as in claims 16-21 and B vitamins along with caffeine is beneficial (col. 6, lines 10-50). Xiong et al. disclose green tea plant extract which is caffeinated with ginko biloba and B vitamins, and vitamin C. (col. 9, lines 30-65, col. 10, line 30). Therefore, it would have been obvious to use various known ingredients as disclosed above for their known function in beverages and supplements meant to improve health.

Nothing new is seen in supplementing various food compositions with vitamins as in claims 1-5 and with a green tea extract as in claim 6 as foods are routinely supplemented with vitamins. It would have been obvious to supplement beverages with green tea extract since the extract is from tea, and teas are generally made from extracts as in extracting tea from tea leaves as in claims 7-15. Therefore, it would have been obvious to supplement foods with vitamins and quercetin.

The composition can be in dry form as in a tablet as in claims 7-9.

Claims 30-37 further require particular ratios of quercetin and caffeine. However, nothing has been shown that the reference does not contain the claimed ratio. The Patent Office is not in the position to perform food analysis. Also, nothing is seen in the specification as to any nexus between quercetin and caffeine nor any unexpected results using the claimed ingredients in a particular ratio. Caffeine is known to be a stimulant and would therefore enhance performance. Therefore, it would have been obvious to use a green tea because it contains the claimed ingredients and the other

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claimed ingredients as shown by Grosek '629, and to use the claimed ratios absent any new or unobvious results.

Claims 26, 27, 29 are rejected under 35 U.S.C. 103(a) as being unpatentable over the above combined references as applied to the above claims, and further in view of Anderson et al. '569.

Claims 26, 27, and 29 further require particular ingredients found in green tea extract. Anderson et al. disclose the use of Green Tea. Nothing is seen that it is not an extract, as teas are generally made from extracted material. Therefore, it would have been obvious to make a composition containing the ingredients of claims 26, 27 and 29 since they are found in green tea, and it would have been obvious to combine it with the above references for the known functions of the ingredients.

#### **Information disclosure statement**

Only the references initialed have been received as of this office action.

#### **ARGUMENTS**

Applicant's arguments filed 1-29-07 have been fully considered but they are not persuasive.

Applicants' argue that the combined references do not show pure caffeine or the claimed ratio between quercetin and caffeine as in claims 1 and 2. However, as above, no weight is given to the method limitation of adding caffeine in pure form. The composition is considered to be the ingredients claimed which includes caffeine.

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Nothing is seen to exclude the other ingredients in green tea which contains caffeine.

Also, as requested in the last office action, no data has been presented to show that the use of green tea as in the above references would not have had the claimed ratio of quercetin and caffeine. The Patent Office is not in a position to make such a determination.

**THIS ACTION IS MADE FINAL.** Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the mailing date of this final action.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Helen F. Pratt whose telephone number is 571-272-1404. The examiner can normally be reached on Monday to Friday from 9:30 to 6:00.


If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Mr. Milton Cano, can be reached on 571-272-1398. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.



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Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

Hp 2-23-07

  
HELEN PRATT  
PRIMARY EXAMINER